

Annex 1: Composition of REDD Working Group

Annex 2: Composition of REDD Steering Committee

Annex 3: Consultation and Participation Process methodology

Annex 4: Relationship between R-PP and Forestry Policies and
Programmes in Uganda

Annex 5: Expanded Consultations

Annex 6: Awareness Strategy

Annex 1: Composition of the REDD-Plus Working Group

The REDD Working Group was comprised of the following persons/institutions.

Organization	Name
Government	
Climate Change Unit/Ministry of Water and Environment	Paul Isabirye
Directorate of Water Resources Management	Benon Lwanga
Meteorology Department	Muwembe Khalid
Ministry of Tourism, Trade and Industry	George Owoyesigire
Department of Environment Affairs	Mugabi Stephen David
	Byaruhanga Charles
National Environment Management Authority	Kitutu M Goretti
Climate Change Association Network	Kiza Wandera
National Environment Management Authority	Ronald Kagwa
Uganda Timber Growers Association	Robert Nabanyumya
National Forest Authority	Fiona F. Driciru
	Xavier Mugumya
	Rukundo Tom
	IbrahimAbdul
	Rugambwa Dismas
	Elungat Eduke David
Uganda Wildlife Authority	Muhimbura Apophia
Ministry of Energy and Mineral Resources	Ahimbisibwe Michael
Parliamentary Forum on Climate Change-Uganda	Martha M. Bbosa
	David Ebong
	Sauda Mugerwa
	Banyenzaki Henry
	Kubeketerya.J
	Milton Muwuma
	Kubeketerya James
Non –Government (NGOs)	
Advocate Coalition for Development and Environment	Mugenyi Onesmus
African Energy Governance Institute	Akankwasa Sarah
Albertine Rift Conservation Society	Cecily Kabagumya
CARE Uganda	Edith Kabesiime
Climate Change Conference	Benard Namanya
Climate and Development Initiatives	Edward Nyakana
Environmental Alert	Christine Nantongo
Tree Talk	Kiyingi Gaster
Africa Water Governance Institute	Bazira Henry
COFSA	Tabura John

CODCA	Ombedra Jese
UNETCOFA	Brenda Mwebaze
Ministry of Lands, Housing and Urban Development	Muyambi Jotham Zaribwe Julius
Environment Conservation Trust of Uganda	Kairu Gerald
Environmental Management for Livelihoods Improvements (EMLI)/Bwaise Facility	Bakiika Robert
International union of Conservation of Nature and Natural Resources	Barbara Nakangu
Katoomba Group	Sara Namirembe
Nature Harness Initiative	Richard Mwesigwa
National Association of Professional Environmentalists	Kureeba David
Nature Palace Foundation	David Kintu Nkwanga
Nature Uganda	Achilles Byaruhanga
SWAGEN	Gertrude K. Kenyangi
Uganda Coalition for Sustainable Development	Mwayafu David
Uganda Forestry Association	Ambrose Kyaroki
Uganda Media Trust for Environment	Pathias Karekona
Wildlife Conservation Society	Akweteireho Simon
	Juraj Ujhazy
Worldwide Fund for Nature	David Duli
Academia and Research	
Faculty of Forestry and Nature Conservation, Makerere University	Justine Namaalwa
	Patrick Byakagaba
National Forestry Resources Research Institute	Epila Otara
	Mujuni Dennis
Makerere University Institute of Environment and Natural Resources	John R.S Tabuti
Private Sector	
CADMA	Steve Amooti Nsita
UNIQUE Forestry Company	Kai Windnorist
	Wathum Gilbert
Uganda Carbon Bureau	Bill Farmer

Annex 2: Composition of the REDD Steering Committee

Institution	Name
Ministry Responsible for Forests (Chair)	David Obong
Office of the Prime Minister (OPM)	Sylvia Biraahwa Nakabugu
Ministry of Energy and Minerals Development	Sam Barasa
Ministry of Tourism, Trade and Industry	George Owoyesigire
Ministry of Gender, Labour and Social Development	Shem Mwesigwa
Ministry of Finance, Planning and Economic Development	Joyce Ruhweza
Ministry of Agriculture, Animal Industry and Fisheries	Alex Bambona
Ministry of Land, Housing and Urban Development	Vincent Byendamira
National Environment Management Authority	Francis Ogwai
National Forest Authority (Secretariat to the RSC)	Hudson Andrua
Uganda Wildlife Authority	Richard Kapere
Climate Change Unit (MWE)	Paul Isabirye
Parliamentary Forum on Climate Change	David Ebong
District Local Government representative (Mukono)	Dennis Ombasa
Ministry of Local Government	Margaret Lwanga
Department of Forestry Sector Support Department	Rachael Musoke
Royal Norwegian Embassy	1 st Secretary, Development Cooperation
World Bank	Country Director
NGO/CSO	
i. IUCN	Barbra Nakangu
ii. Environmental Alert	Charles Waraga
Private Sector (Uganda Tree Growers Association)	Robert Nabanyumya
Representative of Cultural Institution	Sekaja Yahaya
Indigenous people/Forest dependent people	Lomonyang Margret

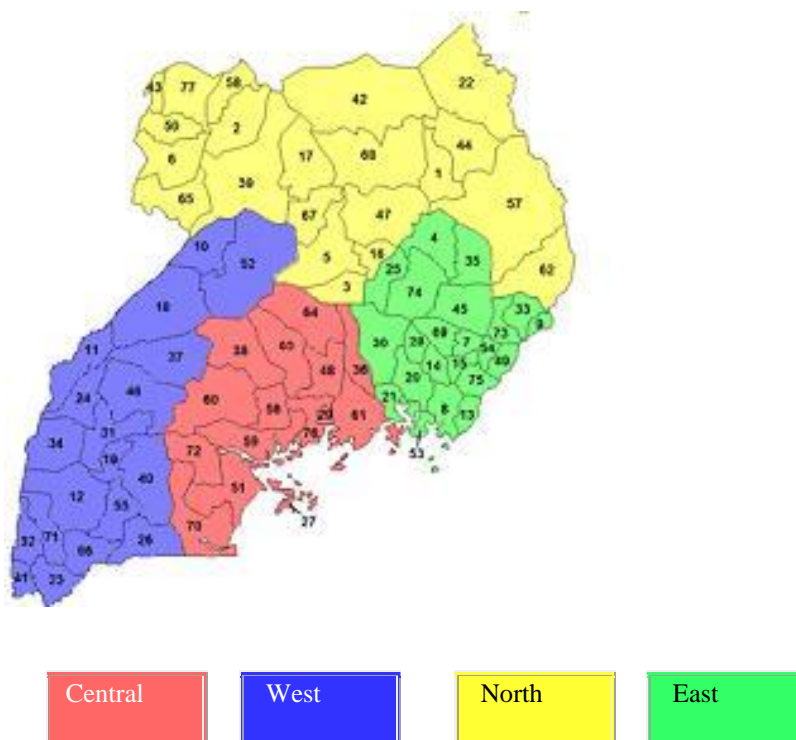
Annex 3: Consultations and Participation Process Methodology

Outline of the Regional Consultation and Participation Process

Introduction:

Uganda is embarking on the formulation of the REDD Readiness Preparation Proposal (R-PP) which requires a multi-stakeholder consultation and participation process to sensitize the various relevant stakeholders on REDD+ and its concepts, solicit their views and understanding of REDD+, capture their presumed expectations anticipated roles and responsibilities in the REDD+ process. For the purpose of these regional consultations the districts of Uganda are divided into 4 administrative regions namely: Northern, Eastern, Western and Central as adopted by the Uganda Bureau of statistics and NEMA (Emwanu et al., 2007).

Uganda's Regions



The economic and social development of Uganda largely depends on the exploitation of its natural resources, including climate. However, the increasing degradation of these natural resources coupled with increasing climate variability and climate changes is beginning to have a serious negative impact on Uganda's social and economic development and the livelihoods of millions of its people indeed the degradation is threatening Uganda's attainment of development targets including the Millennium Development Goals (MDGs). Past experience in Uganda shows that El Nino and Lanina episodes are the principal causes of the most severe climate change related disasters in Uganda.

For instance, the recommended level of national forest cover for Uganda to have stable ecological system is 30 per cent. The national forest cover as of 2005 was however at 18% having dropped from 24 % by 1990. This decline which is estimated at 2.13 % per annum is largely attributed to increasing demand for agricultural land and fuel wood by the rapidly growing population. Between 1990 and 2005 alone, a total of 1,329,570 ha (27% of original forest cover) was lost. The breakdown of the forest cover affected by type is summarized in Table

below. The most affected districts in this regard in magnitude of percentage loss include Mayuge (100 %), Wakiso (86.7 %), Mubende (79 %), Mityana (59.6 %), Kibaale (48.9%), Mpigi 32.6%), Hoima 21.6 %) and Masindi (12.2%).

Table showing percentage change by forest cover

Forest type	Area (Ha) 2005	Area 1990 (Ha)	Change (Ha)	Annual change (Ha)	Percentage change	Percentage annual change
Broad leaved	14,786	18,682	(3,896)	(260)	(21)	(1.4)
Conifer	18,741	16,384	2,357	157	14	1.0
TMF well stocked	600,957	651,110	(50,154)	(3,344)	(8)	(0.5)
TMF low stocked	191,694	273,061	(81,367)	(5,424)	(30)	(2.0)
Woodland	2,777,998	3,974,508	(1,196,510)	(79,767)	(30)	(2.0)
Total forest cover	3,604,176	4,933,746	(1,329,570)	(88,638)	(27)	(1.8)

There is a remarkable difference in the degree of deforestation inside protected areas as compared to forests on private land. Forest estate outside protected areas (PA) reduced from 70% in 1990 to 64% in 2005. Forests outside PA reduced from 3.46 million ha to 2.3 million ha; a difference of about 1.2 million ha. Inside PAs, forests reduced from 1.47 million ha to 1.3 million ha; a difference of about 0.20 million ha. The total (inside and outside PAs) deforestation rate per year is 1.8%. Inside protected areas the deforestation rate is 0.7% while outside protected areas; it is 2.27 % almost double the rate in PAs.

However, there are deliberate efforts being made by the government, private sector, development partners, local communities and civil society to conserve and restore degraded forest areas throughout the country.

In line with these, REDD+ is a multi-sectoral program which the government is in the process of developing in order to address the challenges faced within the forestry and other natural resource management sectors in Uganda. The REDD+ program will look into the drivers and underlying causes of deforestation and degradation, as well as promote sustainable forest management for improved livelihoods.

Outline of the Consultation and Participation Plan:

During the participation working group meeting held on 11th March, 2010 it was agreed upon that the group conduct the consultations on the basis of the 4 regions in such a way that solicit participation and engagement of communities and other stakeholder groups with diverse interest in the REDD+ process.

Identification of Stakeholders to consult with:

The consultation processes will involve the following stakeholders:-

- Selected representatives of Local communities and indigenous peoples
- Selected representatives of Civil and community society organizations
- Selected representatives of Religious and cultural institutions (including Kingdoms of Buganda, Bunyoro, Toro, Busoga, etc)
- Selected representatives of Private business persons and companies
- Selected representatives of Government ministries, department, agencies, and institutions
- Selected representatives of Research, educational and academic institutions
- Selected representatives of Multilateral and bilateral, development agencies

Contents of the consultation workshops

- Introduction to REDD+
- The REDD+ process in Uganda.
- Expectations: roles and responsibilities.
- Opportunities and challenges : social and environment issues

Implementing agencies -

- National Forestry Authority.

Methodology for Consultations

1. Information dissemination through use of the developed brochure, background notes & R-PP template to the various Stakeholders
2. Organized workshops within the various regions as indicated in the table below:

Timeline and budget estimate for consultations

REGION	TARGET STAKEHOLDERS	VENUE	Nature of Consultations	DATES	BUDGET REQUEST
Central	a) National stakeholders workshop: Targeted Stakeholders include; <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples - Selected representatives of Civil and community society organizations - Selected representatives of Religious and cultural organizations - Selected representatives of Private business persons and companies - Selected representatives of Government ministries, department, agencies, and institutions - Selected representatives of Research, educational and academic institutions - Selected representatives of Multilateral and bilateral, development agencies 	Kampala	One workshop	April 15, 2010	
	b) Hold a 5 th Stakeholders Workshop in Central Region Targeted Stakeholders include; <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples - Selected representatives of Civil and community society organizations - Selected representatives of Religious and cultural organizations - Selected representatives of Private business persons and companies - Selected representatives of Government ministries, department, agencies, and institutions 	Kampala	One workshop	May 13, 2010	
	c) Hold a special Stakeholder groups of local communities/indigenous peoples, disabled persons, and other vulnerable/or disadvantaged groups Targeted Stakeholders include; <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples 	Kampala	One workshop	May 14, 2010	
	d) National Validation workshop Targeted Stakeholders include; <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples - Selected representatives of Civil and community society organizations 	Kampala	One workshop	July 20, 2010	

	<ul style="list-style-type: none"> - Selected representatives of Religious and cultural organizations - Selected representatives of Private business persons and companies - Selected representatives of Government ministries, department, agencies, and institutions - Selected representatives of Research, educational and academic institutions - Selected representatives of Multilateral and bilateral, development agencies 				
Eastern	<p>e) Hold a 2nd Stakeholders Workshop in Eastern Region</p> <p>Targeted Stakeholders include;</p> <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples - Selected representatives of Civil and community society organizations - Selected representatives of Religious and cultural organizations - Selected representatives of Private business persons and companies - Selected representatives of Government ministries, department, agencies, and institutions 	Mbale	One worksh op	April 22, 2010	
Western	<p>f) Hold a 3rd Stakeholders Workshop in Western Region</p> <p>Targeted Stakeholders include;</p> <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples - Selected representatives of Civil and community society organizations - Selected representatives of Religious and cultural organizations - Selected representatives of Private business persons and companies - Selected representatives of Government ministries, department, agencies, and institutions 	Hoima	One worksh op	April 29, 2010	
Northern	<p>g) Hold a 4th Stakeholders Workshop in Northern Region</p> <p>Targeted Stakeholders include;</p> <ul style="list-style-type: none"> - Selected representatives of Local communities and indigenous peoples - Selected representatives of Civil and community society organizations - Selected representatives of Religious and cultural organizations - Selected representatives of Private business persons and companies - Selected representatives of Government ministries, department, agencies, and institutions 	Gulu	One worksh op	May 06, 2010	

Annex 4: Relationship between R-PP and Forestry Policies and Programmes in Uganda

1.1 Relationship between R-PP implementation and Climate Change initiatives and programmes

R-PP focuses on those aspects of Climate Change that relate to forest conservation, sustainable management of forests and enhancement of carbon stocks, non-conversion of natural forests to plantations, rights of indigenous people and effective participation of local people and all stakeholders in planning and management of forestry resources in Uganda. These aspects compliment the principles of the National Forest Policy (2003) and National Forestry and Tree Planting Act (2004). Therefore, the R-PP provides an additional planning tool and source of funding for advancing forestry management in Uganda in this regard.

The R-PP recognizes and seeks to collaborate with a variety of Climate Change initiatives and programmes of government, NGOs, CSOs, Private Sector and general public so as to ensure that appropriate strategies for reducing emissions from deforestation and forest degradation are developed and effectively implemented. The R-PP also seeks to interact with and utilize areas of synergy and complementarities with ongoing and future programmes (Table 1).

Table 1: Relationship between REDD and other Climate Change related programmes (as of December 2010)

Programme area/Category	Location/Scope	Relationship with REDD
Forestry related programmes and activities		
National Development Plan (NDP)	Nationwide	Maintaining Permanent Forest Estate Exploitation of Uganda's natural resources for sustainable development
National Agricultural Advisory Services (NAADS)	Nationwide	Farm forestry Environmental management
Water, Environment and natural Resources Sector Investment Plan (WENR SIP)	Nationwide	Sustainable Forest management Afforestation and forest restorations
National Forest Plan (NFP)	Nationwide	Sustainable Forest Management Improved Forest utilization Development of forest resources endowment
Climate Programmes and Initiatives/Carbon related projects		
National Climate Change Initiative (Coordinated by CCU)	National	Integration of REDD Strategies and actions within over-all national Climate Change Initiative
Parliamentary Climate Change Forum	National	Integration of REDD Strategies and actions of the Parliament and Africa wide network of Parliamentarians
Uganda Carbon Bureau	National, Western	Development of Carbon trade capacity and tools
Nature Harness Initiatives	Western Uganda	Development of Carbon trade capacity....methodologies and tools Research and development in Carbon Demonstration of Carbon trade initiatives at farmer levels
UWA/FACE carbon projects in Kibale and Mt Elgon National	Western Uganda,	Development of Carbon trade capacity (methodologies and tools)

Parks	Eastern Uganda	Capacity and skills enhancements and training Research and development in Carbon Demonstration of Carbon trade initiatives in Protected Areas/National parks
Uganda Coalition for Sustainable Development/ REDD-net Project	National	National Research, Awareness, Advocacy and networking
Environmental Conservation Trust for Uganda (ECOTRUST)	National, Western, Eastern	Demonstration of Carbon trade initiatives at farmer levels
International Union for Conservation of Nature (IUCN)	Eastern/Mt Elgon Regional	Development of Carbon trade capacity....methodologies and tools Capacity and skills enhancements and training
Environmental Alert	West Nile, Central	Demonstration of Carbon trade initiatives at farmer levels Awareness and advocacy
Katoomba Group/Forest Trends	National	Development of Carbon trade capacity (methodologies and tools)
Wildlife Conservation International (WCS)	Northern, Western	Methodologies Data Monitoring
Institute of Tropical Forest Conservation (ITFC)/Mbarara University		Research and development in Carbon

1.2 Relationship between R-PP implementation and Forestry Policy for Uganda

1.2.1 Relationship with the Forestry Policy

The R-PP derives its legitimacy from the National Forestry Policy (2002) and National Forest Plan (2003) (under revision) (Table 2). Specifically, the R-PP will contribute to the following National Forestry Policy Objectives

Table 2: Relationship with National Forestry Policy Objectives

National Forestry Policy Objective	R-PP linkages/Areas of Contribution
Goal: An integrated forest sector that achieves sustainable increases in the economic, social and environmental benefits from forests and trees by all the people of Uganda, especially the poor and vulnerable.	<i>Increase economic benefits from Carbon market and deliver social and environmental benefits</i>
The Permanent Forest Estate (PFE) will be set aside permanently for the conservation of biodiversity, the protection of environmental services, and the sustainable production of domestic and commercial forest produce.	<i>Sustainable management of forestry estates in protected areas (FRs and NPs)</i> <i>Partnerships and stakeholders participation in management of PAs and benefit sharing</i>
Government will promote the sustainable management of natural forests on private lands as to maintain the existing national levels of such forest cover. These private forests would be managed within the context of wider integrated land use and expanding agricultural needs for the sustainable production of	<i>Building Carbon stock</i> <i>Facilitating private land owners to invest for Carbon market</i>

forest resources.	
The private sector will play a major role in developing and managing commercial forest plantations either through large-scale industrial plantations on government or private land, or through small-scale plantations on farms.	<i>Using Carbon market to provide incentives for investment in establishing plantations forests.</i>
A modern, competitive, efficient and well-regulated wood and non-wood processing industry will be promoted in the private sector. They will play the major role in developing and managing the forest products processing industries, and will capture the potential for value addition through high quality processing.	<i>Regulatory framework for key drivers of deforestation and forest degradation (Charcoal, firewood)</i>
Collaborative partnerships with rural communities will be developed for the sustainable management of forests. Government will promote innovative approaches to community participation in forest management and private forest lands, addressing related concerns.	<i>Facilitating local communities to invest for Carbon market</i>
Tree growing on farms will be promoted in all farming systems, and innovative mechanisms for the delivery of forestry extension and advisory services will be developed. Government will promote and support farm forestry in order to boost land productivity, increase farm incomes, alleviate pressures on natural forests and improve food security. Government recognizes the strong unmet demand for farm forestry advice across the country and the need for professional services, to be developed within the national framework	<i>Facilitating local communities/farmers to invest for Carbon market</i>
Uganda's forest biodiversity will be conserved and managed in support of local and national socio-economic development and international obligations. Government's biodiversity conservation strategy will continue to be based on a system of protected areas, including Forest Reserves, National Parks and Wildlife Reserves. Government is a signatory to a number of inter-national agreement and conventions relevant to the forest sector.	<i>Sustainable management/conservation of forestry estates</i>
Watershed protection forest will be established, rehabilitated and conserved. Government will promote the rehabilitation and conservation of forests that protect the soil and water in the country's key watersheds and river systems. Achievements in watershed protection through forestry will result from the adoption of appropriate farm forestry methods on degraded private lands, from the improved management of natural forests on hilly private lands, and from restoration of degraded hills on government (public) lands	<i>Sustainable management/conservation of forestry estates</i>
Government is committed to improving the livelihoods and well-being of urban people by supporting urban forestry and improving the urban landscape and environment. The private and non-governmental sectors will be encouraged to play a major role in the development of urban forestry and be given adequate support and incentives in collaboration with urban authorities	<i>Facilitating private land owners to invest for Carbon market</i>
Government will support sustainable forest sector development through appropriate education, training and research. Government will promote and implement public education programmes to increase awareness and role of forest and trees in the national economy and local livelihoods and the crucial environmental services they provide.	<i>Capacity building for REDD+ and Carbon Market</i>
Innovative mechanisms for the supply of high quality tree seed and improved planting stock will be developed. Government will promote the development of adequate supplies of high quality tree planting material to meet the needs of	<i>Building Carbon Stock</i>

small-scale farmers and large-scale commercial tree growers.	
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1.2.2 Relationship with National Forest Plan beneficiaries and targets

The REDD strategy supplements the National Forest Plan by focusing on reducing deforestation and forest degradation through performance-based financing. It aims at designing activities that address deforestation and forest degradation, monitoring of emission reduction, marketing REDD Carbon credits, distributing benefits equitably among stakeholders including the poor and vulnerable, and, engaging partners to implement these activities (Table 3).

Table 3: Relationship with National Forest Plan beneficiaries and targets

NFP targets/beneficiaries	R-PP relationship
Small scale rural producers and users	<i>Facilitating private land owners to invest for Carbon market</i>
Large scale commercial producers and users	<i>Facilitating private land owners to invest for Carbon market</i>
Wood processors	<i>Improve forestry utilization technologies</i>
Institutional producers and consumers	<i>Improve forestry utilization technologies</i>

1.3 Relationships with National Development Plan (NDP)

Uganda's 2010-2019 NDP aims to increase forest cover from 3,604,176ha to 4,933,746ha by 2015. It commits to enhance capacity for: i) enforcing forestry law; ii) private tree planting and, iii) farm forestry. The R-PP activities which will involve tree planting and development of tools and methodologies for monitoring impact of REDD-Plus on forestry resources in Uganda contribute to the aims of NDP on forestry and capacity building for forestry resources development and management.

1.4 Relationship with Forestry conservation and management programmes

The Uganda REDD-Plus Readiness strategies and actions will seek to add value to following ongoing forestry programmes as shown in Table 4 below.

Table 4: Relationship with Forestry conservation and management programmes

Institution	Forestry Programme	Relationship
National Forest Authority	Management of Central Forest Reserve	Reducing deforestation and forest degradation => maintenance of Performance Forest Estate

	Forestry Resources inventory and mapping	Data /information on trends of deforestation and deforestation Data on impact of REDD-Plus on forestry resources
	Technical services to forestry resources management	Tools and methodologies for measuring Carbon and for Carbon Trade
	Plantation development	Investments in Carbon trees
Uganda Wildlife Authority	Protection of Forestry habitats	Reducing deforestation and forest degradation => maintenance of Performance Forest Estate
	Habitat Restoration	Investments in Carbon trees
District Forest Sector Support Department	Enhancing Farm Income from Forestry resources development	Investments in Carbon trees
	Forest conservation	Reducing deforestation and forest degradation => maintenance of Performance Forest Estate
District based Programmes	Management of Local Forest Reserves	Reducing deforestation and forest degradation => maintenance of Performance Forest Estate
	Forestry Resources Development/Extension Services	Investments in Carbon trees
National Agricultural Research Organization (FORRI)	Research and development of forestry resources	Data /information on trends of deforestation and deforestation Data on impact of REDD-Plus on forestry resources
MWE/Saw Log Production Grant Scheme (SPGS)	Establishment of Saw log plantations	Investments in Carbon trees

1.5 Policy, institutional and legal provisions and requirements for R-PP implementation in Uganda

A conducive policy, legal and institutional framework that is consistent with the emerging international REDD-Plus principles is essential for successful implementation of REDD - Plus Readiness Preparation Proposal in Uganda

The Uganda's policies and legislation are adequate for R-PP implementation. Specifically, they provide the following foundations of successful R-PP:

- a) Commitment to sustainable forest management and maintenance of Permanent Forest Estate.
- b) Stakeholder participation (private sector, academia, and communities, forest dependent people).

The following policy and legal frameworks support the R-PP implementation (Table 5)

Table 5: Policy and legal framework for R-PP implementation

Framework	Provisions Relevance to R-PP
Legal	
The Constitution of Republic of Uganda (amended 2005)	Provides for management of Uganda's natural resources, forestry resources inclusive.
Forestry and Planting Act (8/2003)	Legal framework for management of forest resources Stakeholder participation
Wildlife Act cap 200	Incentives including sharing of benefits from conservation Stakeholder participation
Local Government Act	Stakeholder participation Decentralised (devolved) management of central forest reserves
National Environment Act cap 153	Incentives including sharing of benefits from conservation Stakeholder participation
Land Act cap 227	Stakeholder participation
Policy	
Forest Policy (2001)	Stakeholder participation Maintenance of Permanent Forest Estate sustainable forest management
National Environment Policy (1995)	Stakeholder participation sustainable forest management
Wildlife Policy (1999)	Stakeholder participation Conservation of forests
Development Plans	
National Development Plan (2009)	Sustainable development through preservation of natural resources such as forests
National Forest Plan (2002)	Sustainable forest management Maintenance of Permanent Forest Estate
Regulations	
Collaborative Forest Management	Community participation in forest management

Guidelines.	Benefit sharing between NFA and the communities
	Development of community regulations

1.6 Institutional framework for R-PP implementation (2012-2014)

The following institutions (Table 6) that have mandate over respective activities of REDD-Plus shall be prominently engaged in the preparation of REDD Strategy for Uganda.

Table 6: Institutional mandates supporting development of Uganda REDD Strategies

Institution	Mandate
Ministry of Water and Environment (MWE)	R-PP implementation coordination and supervision
Forest Sector Support Department (FSSD)	Advice and support to define policies, standards and regulations for the forestry sector.
National forest Authority (NFA)	Technical support in pilot activities Provision of Expertise and data
Uganda Wildlife Authority (UWA)	Technical support in pilot activities Provision of Expertise and data
National Environment Management Authority (NEMA)	Technical support in pilot activities Provision of Expertise and data
Ministry of Energy and Minerals Development (MEMD)	Technical support in pilot activities Provision of Expertise and data
Local Government (Districts)	Technical support in pilot activities Provision of Expertise and data Mobilizing communities and Stakeholders
National Agricultural Research Organization (NARO) (National forestry Resources Research Institute (NaFORRI)	Technical support in pilot activities Provision of Expertise and data
Universities	Technical support in pilot activities Provision of Expertise and data
Community	Participation Information Implementation of Pilot activities

NGOs/CSO	Mobilizing Stakeholders to participate Monitoring quality and adherence to REDD principles Technical support in pilot areas
Private Land Owners	Participation Information Implementation of Pilot activities
Private Forest Owners	Participation Information Implementation of Pilot activities

For effective implementation of the R-PP, the above institutional landscape could be enhanced as follows.

- a) Mobilize Private sector institutions to participate in R-PP Implementation
- b) Initiate Community and individual farmer's capacity to pilot projects.
- c) Develop and apply binding procedures, systems and tools for stakeholder participation in Strategy development.

1.7 Policy and legal frameworks likely to hinder R-PP implementation

The likely weakness or constraint that has potential to negatively affect R-PP implementation is policy and legal gaps due to the fact the REDD-Plus is recent approach. The following are the identified gaps that would require to be plugged.

- a) Licensing trade in Carbon markets.
- b) Definition of Carbon rights.

Annex 5: Expanded consultation and participation process

Strategy for Implement Expanded Consultation programme funded by Norway

1. Introduction

In June 2010, The Royal Norwegian Government/Embassy approved funding amounting US\$ 183,500 from Norway-GoU Programme “Support to Sustained National Forestry Authority with Enhanced Focus on Northern Uganda” to provide additional financial and technical support for an expanded program for REDD+ consultations and communications strategy in the context of the Readiness Preparation Proposal (R-PP). The approval was in response to a proposal submitted by NFA on 18th May 2010 titled “Request for financial and technical support for an expanded program for REDD+ consultation in the context of the R-PP process” in Uganda.

The approval by Norway was granted with the following conditions:

- a) NFA shall enter implementation contract/agreement with selected NGOs, not exceeding three in number. Further that the selected NGOs could sub-contract the tasks to other entities.
- b) Funding will disbursed by Ministry of Finance Planning and Economic Development directly to implementing NGOs
- c) The Consultations shall pay attention to Special forest dependent people such as Batwa around the forests in south western Uganda.

2. Rational for Support

Regional Consultations concluded in May and early June 2010 have been found not to have involved the vulnerable and marginalised members of Uganda’s forest dependent

communities. Further, it was found out that the Consultations and outreach programme did not cater for national level policy actors in the processes and neither did it cater for communication and awareness raising.

Hence, it has been proposed to:

- a) Undertake an expanded consultation to include the following interests, among others.
 - I. Commercial and artisan Timber harvesting and dealers groups;
 - II. Major Firewood gatherers and users such as brick burners, etc.;
 - III. Charcoal burners and charcoal dealers;
 - IV. Forest Resource Users i.e. Herbalists, Hunters and Gatherers;
 - V. Communities with Collaborating agreements with NFA and UWA;
 - VI. Associations of Watershed Management Areas
 - VII. Forest-dependent Communities i.e. Communities within or surrounding forest resources etc;
 - VIII. Commercial forest owners;
 - IX. Individual forests owners;
 - X. Commercial and allied agricultural companies and associations, such as Uganda Farmer's Association, Uganda Coffee growers and processors, Uganda Rancher's Association;
 - XI. Community land owners;
- b) Develop Communication messages targeted different stakeholders to enhance awareness and with time stimulate attitude change and enlist their participation and support for REDD+.

3. Objectives

The objectives of this undertaking are:

- a) Objective #1: To expand the consultation required for the formulation of the Readiness Preparation Proposal (R-PP)
- b) Objective #2: To sustain awareness and understanding of REDD+ among Ugandans in preparation for the formulation of the National REDD+ Strategy.

4. Implementation modalities

4.1 The Assignment

In accordance with the Approval Conditions, NFA shall enter implementation agreement with three NGOs/Institutions as follows:

Institution	Assignment	Mode of Engagement
IUCN	Conduct High-level consultations with national level actors (executives and Legislature)	The NGO to prepare methodology and budget for the allocated US\$ 9,000 basing on the generic Terms of Reference (section 4.2). NFA shall approve the submission and enter Implementation Agreement with the NGO.
CARE	<p>Conducting consultations meetings for south western Uganda (Kabale) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree farmers etc.</p> <p>Conducting consultations meetings for western Uganda (Hoima) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree farmers etc.</p> <p>Conducting consultations meetings for northern-western Uganda (Arua) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree farmers etc.</p> <p>Conducting consultations meetings for northern Uganda (Gulu) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree farmers etc.</p> <p>Conducting consultations meetings for eastern Uganda (Mbale) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree farmers etc.</p> <p>Conducting consultations meetings for Kalamoja region (Moroto) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree farmers etc.</p> <p>Conducting consultations meetings for Central Uganda (Mpigi/Entebbe) targeting Indigenous people/Forest dependants, Private tree farmers and wood industry/ planters, small scale tree</p>	The NGO to prepare methodology and budget for the allocated US\$ 145,000 basing on the generic Terms of Reference (Section 4.3). NFA shall approve the submission and enter Implementation Agreement with the NGO.

	farmers, etc.	
Uganda Media Trust for Environment	To organize and facilitate Radio and T.V Talk shows Undertake Video footage for the consultations and air the relevant footages with TV stations	The NGO to prepare methodology and budget for the allocated US\$ 22,500 basing on the generic Terms of Reference (Section 4.3). NFA shall approve the submission and enter Implementation Agreement with the NGO.

4.3 The Terms of Reference/Tasks

4.3.1 IUCN

The following are broad tasks

- a) Sensitize High-level actors communities about REDD+ and REDD+ R-PP process for Uganda.
- b) Sensitize High-level actors communities about REDD+ and REDD+ R-PP process for Uganda.
- c) Prepare a report on the consultations highlighting among others, key concerns in respect to REDD+ goals, objectives and targets, drivers and views on their likely participation and benefits from REDD R-PP.

4.3.2 CARE

The Following are the broad tasks:

- d) Sensitize targeted categories for communities/interest groups per region about REDD+ and REDD+ R-PP process for Uganda.
- e) Engage the targeted communities /interest groups in identifying their concerns with regards the REDD+ objectives, targets and approaches.
- f) Solicit their views on drivers for deforestation and forest degradation in their locality.
- g) Prepare a report on the consultations highlighting among others, key concerns in respect to REDD+ goals, objectives and targets, drivers and views on their likely participation and benefits from REDD R-PP.

4.3.3 UMTE

The following are the broad tasks

- a) Organize and facilitate Radio and T.V Talk shows with sufficient national coverage
- b) Undertake Video footage on the REDD+ consultations process (including the regional consultations under the Expanded programme) and cause to have relevant footages aired with TV stations.
- c) Prepare a report on the undertaking including the materials generated during the undertaking.

5. The Role of NFA

The NFA takes responsibility for the implementation of this programme activities and delivery of products. In this regards, the contracted NGOs shall report to NFA on all technical and administrative matters. Specifically, NFA shall:

- a) Enter Implementation agreement with each Institution using NFA formats. The Implementation agreement shall specify the roles and obligations, time frame, reporting and outputs/deliverables, accountabilities requirements, among others.
- b) Approve the scope of work and budget and authorise MoFPED to disburse funds to the NGOs.
- c) Approve the reports/deliverables.
- d) Consolidate reports of the tree NGOs and make final report to the Norwegian Embassy.

6. Next Steps:

The following steps are envisaged.

- 1. NFA to communicate to the three NGOs about the intention to engage them. The communication should specify the proposed assignment and general conditions/terms.
- 2. In response, the NGO should prepare methodology and workplans and budget for NFA approval.
- 3. Based on the two steps above, NFA shall apply for a no-objection to the proposed Implementation agreement from the Norwegian Embassy before committing the implementation agreement.
- 4. Upon receipt of the no-objection, NFA shall enter Implementation agreement with the respective NGOs and authorise MoFPED to disburse funds to the NGOs.

Annex 6: Awareness Strategy

REDD+ Readiness Planning Process for Uganda

Awareness and Communications Strategy

1. The Strategy

This is the description of the Objectives, Outputs and Activities for raising awareness and communicating about the REDD+ and the R-PP for Uganda. The Strategy has been developed by the R-PP Secretariat/RWG as an integral component of the R-PP process for Uganda.

For the Purpose of this Strategy, the following definitions shall apply:

- a) **REDD+** means Reducing Emissions from Deforestation and Forest Degradation, the role of conservation, Sustainable Management of Forests and Enhancement of forest carbon stocks in Developing Countries.
- b) **R-PP** means the process through which Uganda is developing a Readiness Preparation Proposal.
- c) **RWG** means REDD Working Group...a national level stakeholder forum for participating in the R-PP for Uganda.
- d) **FCPF** means Forest Carbon Partnership Fund of the World Bank set up purposely to scale up the impact of the BioCarbon Fund for standing forests by supporting capacity building and by providing performance based payments.

2. Background

2.1 Global REDD+ Process

REDD+ Readiness is an a global initiative involving tropical developing countries like Uganda, receiving financial incentives to reduce the rate of deforestation and forest degradation, conserve forests through sustainable management, and to increase areas under forest cover. REDD+ is a multi-sectoral process targeting the Forestry, Energy, Land and Agriculture sectors. Financing for REDD+ is expected to come mainly from market based financing mechanism. However, where international financing is not adequate,

REDD+ shall be financed by Government of Uganda.

The REDD+ is a three phased program. Phase one (2010–2013) is the Readiness phase where a country undertakes readiness activities such as preparing REDD Readiness Strategy as is the case in Uganda presently. This involves the development of national strategies or action plans, policies and measures and capacity-building. Phase two (2013–2015) is the implementation of these national REDD+ Readiness Strategies or Action plans that could involve further capacity-building, demonstration activities; and Phase three shall involve results-based actions that shall be fully measured, reported and verified. This is the Carbon Market phase where the country actually enters into the REDD Carbon market.

REDD+ Readiness involves preparing an effective and equitable strategy to reduce emissions from deforestation and forest degradation and associated activities. For a Country to become “Ready for REDD+”, it is required to develop a National Strategy or Action plan as part of the low-carbon emissions strategy, ensuring effective engagement and participation by stakeholders, including local people. The REDD+ Strategy should be based on:

- a) National baseline over which to estimate any actions on REDD+,
- b) Measures for ensuring transparent, equitable and accountable benefit sharing system of carbon funds,
- c) Sound forest carbon accounting system,
- d) Monitoring and reporting system on REDD+ activities, and
- e) Monitoring system (Reference Scenario) against which to measure future deforestation and degradation reductions.

2.2 Uganda REDD+ Readiness Process

Since October 2009, Uganda is preparing REDD Readiness Proposal (R-PP) with financial support from Forest Carbon Partnership Fund (FCPF) through the World Bank amounting to US \$ 200,000.

Additional financial support amounting to US\$ 183,000 has been provided by Norwegian Government specifically to facilitate stakeholder consultations and participation.

The Uganda process of preparing the REDD Readiness Proposal seeks to; a) undertake a situation analysis of the current situation with regards to deforestation and forest degradation and assess drivers and impact of deforestation and forest degradation and relevant forest governance issues, b) carry out a multi stakeholder consultation at all levels and among various sectors and engage them in development of REDD Readiness strategies and actions to reduce deforestation and/or forest degradation, c) develop an institutional and legal implementation framework for REDD+ Readiness Strategy including a monitoring system to measure, report and verify (MRV) the effect of REDD+ strategies.

Public and stakeholder engagement in the REDD Readiness Proposal development process aims at ensuing active participation of all stakeholders at policy and implementation levels including Executive (Ministries/agencies), Parliament, NGOs/CSO, Private sector, Academia, Cultural /special groups, forest dependent people/Communities, among others.

The R-PP process is overseen by a National level Steering Committee and coordinated by a REDD Working Group. The NFA provides a Secretariat that facilitates the entire process.

3. Justification for the Strategy

The R-PP is an important national commitment to describe its strategies and actions for preparing the country to be an active partner in the global REDD+ process, hence, becoming ready for REDD+. The R-PP process emphasizes country ownership through active involvement of all stakeholders. This involvement would be realized when the public/stakeholders are informed of the REDD+, the R-PP process and when they are mobilized to support the process. Being a Government led process, it is essential that Political and Executive leadership is informed of the requirements and process for preparing Uganda's Readiness and is regularly updated on the progress. Equally important is the fact that Uganda needs to effectively communicate to stakeholders within and outside the Country such as the FCPF on the progress towards readiness for REDD+. Hence, the proposed strategies and actions will facilitate communication and awareness raising about REDD+ and R-PP process, communication to the stakeholders within and outside the country and, will be used as a tool to mobilize stakeholders participation in the process.

4. Objectives and Outputs

4.1 Objectives:

The overall Goal of the Strategy is to Mobilize Political, Executive and Stakeholders support and participation in the R-PP Process for Uganda. It is recognized that this goal requires multiple actions to be realized, and the Strategy will therefore be expected to contribute towards realizing this Goal.

The following objectives will enable Uganda move towards realizing the above Goal.

- a) Objective #1: To raise public and stakeholder awareness of REDD+ and RPP Process
- b) Objective #2: To mobilize stakeholder's involvement in the R-PP Process
- c) Objective #3: To communicate to the FCPF and Stakeholders on Uganda's preparations for "becoming" ready for REDD+.

4.2 Outputs

The following Outputs will be realized under each objective.

Objective #1: To raise public awareness of REDD+ and RPP Process

Outputs:

- REDD+ and R-PP awareness messages and tools for disseminating messages.
- REDD+ and R-PP awareness messages disseminated.

Objective #2: To mobilize stakeholder's involvement in the R-PP Process

Outputs:

- Stakeholder's briefings and information about REDD+ and R-PP Process developed and disseminated.
- Stakeholders equipped with information about REDD+ and R-PP process.

Objective #3: To communicate to the FCPF and Stakeholders on Uganda's preparations for becoming ready for REDD+.

Outputs:

- FCPF and Global partners informed of Uganda's process and progress on R-PP.
- Stakeholders informed of Uganda's process and progress on R-PP.
- Information on Uganda's REDD + Strategies and actions disseminated widely.

4.3 Outcomes of the Awareness and Communication Strategy

Successful implementation of the Strategy will result into:

- a) Public and stakeholders aware of the Uganda REDD+ and R-PP process and progress.
- b) Stakeholders well informed of Uganda's REDD+ Readiness Strategies and actions.
- c) Stakeholders actively supporting Uganda's REDD+ Readiness Strategy and actions.

5. Strategies and actions

Output	Activity	Actor	Outputs
Objective#1: To raise public awareness of REDD+, RPP Process			
REDD+ and R-PP awareness messages and tools for disseminating messages developed	Develop REDD+ and R-PP Awareness messages	R-PP Secretariat, Communications Expert?	Key messages about: <ul style="list-style-type: none"> ➤ REDD+ ➤ R-PP Process ➤ Requirements and benefits for Stakeholder participation ➤ Reference climate change catastrophes (Teso floods, Bududa incidents, War)
	Design tools for disseminating messages	Communications Expert	Communication Tools (<i>in various languages for reaching variety of audiences</i>) <ul style="list-style-type: none"> ➤ Website articles ➤ R-PP Brochure ➤ Social internet (facebook, flicker, twitter, blo) ➤ Media scripts/briefings/recordings/media trips ➤ Other promotional materials (e.g., posters, badges, bumper stickers, calendars, etc.)
	Develop Communication messages about Uganda's Readiness Strategies, Actions and	Communications Expert	Key messages (<i>in various languages for reaching variety of audiences</i>)

Output	Activity	Actor	Outputs
	implementation plan		<ul style="list-style-type: none"> ➤ Agreed thematic areas from which messages can be developed. ➤ REDD+ Readiness Strategies and actions and implementation modalities
REDD+ and R-PP awareness messages disseminated	Facilitate dissemination of messages <ul style="list-style-type: none"> ➤ Publish and disseminate R-PP brochure ➤ Uganda REDD+ and R-PP Process message on NFA Website Schedule /conduct briefings/field visits to Media ➤ Conduct Talk Shows on radio/TV ➤ Prepare documentation/recording R-PP Process 	R-PP Secretariat { NFA Communications Expert Chair RWG, ED NFA, R-PP Secretariat/Media Expert	<ul style="list-style-type: none"> ➤ R-PP brochure ➤ NFA website with up-to-date information about Uganda's R-PP process ➤ Media (Print and electronic) coverage of REDD+ and R-PP process ➤ Radio Spot messages produced ➤ Radio spot messages ran on radio station ➤ TV spots developed and ran on selected TV stations ➤ Documentary on R-PP Process
Objective #2: To mobilize stakeholder's involvement in the R-PP Process			
Stakeholders briefings and	Develop R-pp Progress briefings	R-PP Secretariat	Information package

Output	Activity	Actor	Outputs	
information about REDD+ and R-PP Process disseminated	(weekly/monthly) Distribute/disseminate briefings and information		R-PP Secretariat	<ul style="list-style-type: none"> ➤ Briefing sessions held ➤ Awareness materials disseminated
Stakeholders equipped with information about REDD+ and R-PP process	Convene briefing sessions for targeted Stakeholders Invite submission into R-PP Process from RWG and general Public	R-PP Secretariat		Stakeholder analysis and targeted communication for the different stakeholder categories
Stakeholders aware of and willing to assume their roles in REDD+ and R-PP process	Convene briefing sessions for targeted Stakeholders Invitations to participation to targeted stakeholders	R-PP Secretariat RWG Chairperson		Stakeholders participation in the R-PP Process
Objective #3: To communicate to the FCPF and Stakeholders on Uganda's preparations for becoming ready for REDD+.				
FCPF/World Bank and Partners informed of Uganda's process and progress on R-PP	Prepare and submit progress and technical reports	R-PP Secretariat/NFA		<ul style="list-style-type: none"> ➤ Regular progress and accountability reports ➤ Feedback from FCPF/World Bank
	Timely submission of Uganda's Readiness Proposal	MoWE		Uganda's Readiness Proposal document

Output	Activity	Actor	Outputs	
	Active participation in FCPF /REDD+ session and information exchanges		REDD+ Focal Point	Attendance in related meetings and forums
Stakeholders informed of Uganda's process and progress on R-PP	Prepare and issue regular updates to the RWG		R-PP Secretariat	<ul style="list-style-type: none"> ➤ Updates of the R-PP Process ➤ Active RWG yahoo e-mail address ➤ Feedback from RWG
	Disseminate information on progress through appropriate forums		R-PP Secretariat	Stakeholders aware of the process and progress
Information on Uganda's REDD + Strategies and actions disseminated widely.	Prepare messages on REDD+ Readiness Strategies		Communications Expert	Messages REDD+ Readiness Strategies
	Disseminate the Messages		R-PP Secretariat	Messages REDD+ Readiness Strategies disseminated

6. Implementation

6.1 Implementation Strategies

The implementation of this Strategy will emphasize the following approaches:

- a) Utilizing the official communication opportunities by National Focal Point in relation to Uganda's participation in global REDD+ processes and the FCPF network.
- b) Piggyback on communication initiatives of stakeholders already working on climate change and REDD related activities to avoid duplication of resources (human and financial) and time.
- c) Taking advantage of regional, national or stakeholder's events such as workshops and meetings to disseminate information about REDD+ and R-PP.
- d) Convening briefing sessions with strategic players/Targeted Audiences (Political, Senior Executive, Development partners).
- e) Optimize use of the yahoo group address and other electronic forms of communication.
- f) Utilise social media like flicker, facebook, twitter, blog to publicise REDD+ R-PP and invite stakeholders to join.
- g) Use SMS text messaging for targeted audiences such as MPs on critical themes of concern during the REDD process.
- h) Preparing and dissemination of attractive reports.
- i) Prepare and publicise popular versions of the key documents arising from the Process

6.2 Roles and responsibilities

The NFA/R-PP Secretariat shall coordinate the implementation of the Strategy, including facilitating the development of communication messages and reports, convening or attending briefing sessions, organizing talk shows, among others. The R-PP Secretariat shall facilitate the Chair of RWG to communicate on REDD+ and R-PP process as appropriate. The NFA/R-PP Secretariat shall procure services of Communications and Media Experts for specific inputs as appropriate.

7. Monitoring and Evaluating

The implementation of this Strategy shall be monitored and evaluated using the following M&E framework.

Output	Question	MoV/Indicator	Responsibility
REDD+ and R-PP awareness messages and tools developed	What are the types and quality of awareness messages and tools that have been developed	<ul style="list-style-type: none"> ➤ No and themes of messages in Place ➤ No of tools identified for this purpose. 	RWG
REDD+ and R-PP awareness disseminated	Have the messages been disseminated widely and effectively?	<ul style="list-style-type: none"> ➤ No of media institutions involved ➤ No of spot messages/media house ➤ % audience reached ➤ Share of ink (print) and share of voice (audio) for free publicity 	RWG
Stakeholders briefings and information about REDD+ and R-PP Process disseminated	Who are the Stakeholders that have received briefings and or information about REDD+ and R-PP process	<ul style="list-style-type: none"> ➤ No of briefings. ➤ No of recipients of the briefings ➤ Stakeholder categories briefed 	RWG R-PP Secretariat
Stakeholders equipped with information about REDD+ and R-PP process	To what extent are stakeholders aware of REDD+ and R-PP Process	<ul style="list-style-type: none"> ➤ Field observation 	RWG R-PP Secretariat
Stakeholders aware of and	To what extent are the Stakeholders engaged in	<ul style="list-style-type: none"> ➤ Commitment to the RWG ➤ Feedback and contribution 	RWG R-PP Secretariat

Output	Question	MoV/Indicator	Responsibility
willing to assume their roles in REDD+ and R-PP process	R-PP Process	from Stakeholders	
FCPF/World Bank & Partners informed of Uganda's process and progress on R-PP	To what extent has Uganda fulfilled its contractual obligations to R-PP process	↗ No of official communications ↗ No of process reports ↗ No of progress reports ↗ Submitted reports and other formal communications	RWG WorldBank R-PP Secretariat
Stakeholders informed of Uganda's process and progress on R-PP	To what extent are stakeholders aware of Uganda R-PP Process and progress	↗ Awareness survey report	RWG R-PP Secretariat
Information on Uganda's REDD + Strategies and actions disseminated widely.	To what extent are the Uganda's REDD+ Readiness Strategies and actions known	Extent of public awareness of the R-PP Strategy (by geographical spread, sectors and interest groups)	R-PP Secretariat

8. Implementation Budget

The implementation of these strategies will be supported by budget allocations from the FCPF /World Bank and Norwegian Government Grants to R-PP process for Uganda. NFA will meet costs for the Website and an internal Communications Expert. However, these financial sources may not yield adequate resources to fully implement the Strategy. The R-PP process shall endeavour to solicit for more financial support to plug the funding gaps, especially for the following costs:

- a) Information collection and packaging for public consumption.
- b) Website (architectural design, domain name registration and hosting, design and maintenance)

- c) Publications (brochures, policy briefs, reports).
- d) Promotional materials (posters, banners, etc) and events (workshops, meetings, celebrations, breakfast meetings, media trips).
- e) Airtime (TV talk show and radio talk shows).
- f) Advertising space (adverts in the print media.